# Disclosure Statement (Financial Adviser)

Name of financial adviser: Glenn Stone Address: PO Box 15854, New Lynn, Waitakere, 0640, New Zealand Trading name: GSI Insurance Brokers (Auckland) Ltd T/A GSI Insurance Partners Telephone number: +64 9 826 0053 Fax number: +64 9 826 0683 Email address: glenn@gsi.nz This disclosure statement was prepared on: 5 October 2020

## It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

#### What sort of adviser am I?

I am a registered financial adviser. I am not, however, authorised to give advice in relation to securities, land

investment products, futures contracts, or investment-linked contracts of insurance. I can give you advice about insurance contracts, other than investment-linked insurance contracts.

## What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me or my employer, so that our internal complaints scheme can try to fix the problem.

You may contact our internal complaints scheme by notifying us in writing at-GSI Insurance Brokers (Auckland) Ltd T/A GSI Insurance Partners Internal Complaints Scheme P O Box 15854, New Lynn, Auckland

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact Financial Services Complaints Limited. This service will cost you nothing and will help us resolve any disagreements.

You can contact Financial Services Complaints Limited at-Website: www.fscl.org.nz Email: info@fscl.org.nz Telephone: 0800 347 257 (Call Free for consumers) Landline: +64 4 472 3725 Fax: +64 4 472 3727 Postal Address: PO Box 5967, Lambton Quay, Wellington 6145

#### How am I regulated by the Government?

You can check that I am a registered financial adviser at http://www.fspr.govt.nz

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

## Declaration

I, Glenn Lawrence Philip Stone, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:



Glenn Lawrence Philip Stone

